



FORM PTO-1449

INFORMATION DISCLOSURE STATEMENT

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ATTY. DOCKET NO.
2066.0230003APPLICATION NO.
10/706,070FIRST NAMED INVENTOR
Jeffrey W. WILLISFILING DATE
November 13, 2003ART UNIT
3746

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
1M	AA1	2,864,244	12/1958	Prachar			
	AB1	3,124,924	3/1964	Smith			
	AC1	3,250,973	5/1966	Dawson			
	AD1	3,569,809	3/1971	Comer			
	AE1	3,591,844	7/1971	Schonebeck			
	AF1	3,703,076	11/1972	Hagemelster			
	AG1	3,724,214	4/1973	Bryant			
	AH1	3,764,815	10/1973	Habock <i>et al.</i>			
	AI1	3,809,914	5/1974	Kilgore <i>et al.</i>			
	AJ1	3,829,758	8/1974	Studtmann			
	AK1	3,937,974	2/1976	Lafuze			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
1M	AL1	0 472 294	2/1992	EP			Yes No
	AM1	0 679 800	11/1995	EP			Yes No
	AN1	0 837 231	4/1998	EP			Yes No
	AO1	0 901 218	3/1999	EP			Yes No
	AP1	WO 94/27359	11/1994	WO			Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

1M	AR	1	Japanese Patent Application entitled "Turbogenerator/Motor Control System"; filed May 13, 1999, Japanese Serial No. 133003/1999.
1M	AS	1	Japanese Patent Application entitled "Command and Control System and Method for Multiple Turbogenerators", filed October 27, 1999, Japanese Serial No. 305375/1999.
1M	AT	1	Japanese Patent Application entitled "Turbogenerator/Motor Controller", filed August 4, 1998, Japanese Serial No. 220231/1998.

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IM	AA2	3,991,357	11/1976	Kaminski			
	AB2	4,005,581	2/1977	Aanstad			
	AC2	4,015,187	3/1977	Sasaki <i>et al.</i>			
	AD2	4,039,914	8/1977	Steigerwald <i>et al.</i>			
	AE2	4,093,869	6/1978	Hoffmann <i>et al.</i>			
	AF2	4,119,861	10/1978	Gocho			
	AG2	4,258,424	3/1981	Giras <i>et al.</i>			
	AH2	4,265,099	5/1981	Johnson <i>et al.</i>			
	AI2	4,292,534	9/1981	Diegel <i>et al.</i>			
	AJ2	4,340,820	7/1982	Meyer-Pittroff <i>et al.</i>			
	AK2	4,401,938	8/1983	Cronin			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
IM	AL2	WO 98/25014	6/1998	WO			Yes
							No
	AM2	WO 99/32762	7/1999	WO			Yes
							No
	AN2	WO 99/52193	10/1999	WO			Yes
							No
	AO2	WO 00/28191	5/2000	WO			Yes
							No
	AP2						Yes
							No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	2	
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<i>LM</i>	AA3	4,442,385	4/1984	Van Sickle			
	AB3	4,471,229	9/1984	Plohn <i>et al.</i>			
	AC3	4,481,459	11/1984	Mehl <i>et al.</i>			
	AD3	4,498,551	2/1985	Arbisi			
	AE3	4,551,980	11/1985	Bronicki			
	AF3	4,560,364	12/1985	Cohen			
	AG3	4,565,957	1/1986	Gary <i>et al.</i>			
	AH3	4,684,814	8/1987	Radomski			
	AI3	4,709,318	11/1987	Gephart <i>et al.</i>			
	AJ3	4,719,550	1/1988	Powell <i>et al.</i>			
	AK3	4,730,397	3/1988	Weiford <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL3						Yes No
	AM3						Yes No
	AN3						Yes No
	AO3						Yes No
	AP3						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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IM	AA4	4,754,607	7/1988	Mackay			
	AB4	4,757,686	7/1988	Kawamura <i>et al.</i>			
	AC4	4,772,802	9/1988	Glennon <i>et al.</i>			
	AD4	4,786,852	11/1988	Cook			
	AE4	4,802,882	2/1989	Heidrich			
	AF4	4,830,412	5/1989	Raad <i>et al.</i>			
	AG4	4,833,887	5/1989	Kawamura <i>et al.</i>			
	AH4	4,841,216	6/1989	Okada <i>et al.</i>			
	AI4	4,862,009	8/1989	King			
	AJ4	4,883,973	11/1989	Lahey <i>et al.</i>			
	AK4	4,908,565	3/1990	Cook <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL4						Yes No
	AM4						Yes No
	AN4						Yes No
	AO4						Yes No
	AP4						Yes No

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127	AA5	4,939,441	7/1990	Dhyanchand			
	AB5	4,955,199	9/1990	Kawamura			
	AC5	4,967,096	10/1990	Diemer <i>et al.</i>			
	AD5	4,968,926	11/1990	Dhyanchand			
	AE5	4,982,569	1/1991	Bronicki			
	AF5	5,013,929	5/1991	Dhyanchand			
	AG5	5,015,941	5/1991	Dhyanchand			
	AH5	5,029,062	7/1991	Capel			
	AI5	5,038,566	8/1991	Hara			
	AJ5	5,055,764	10/1991	Rozman <i>et al.</i>			
	AK5	5,057,763	10/1991	Torii <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL5						Yes No
	AM5						Yes No
	AN5						Yes No
	AO5						Yes No
	AP5						Yes No

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1/14	AA6	5,068,590	11/1991	Glennon <i>et al.</i>			
	AB6	5,088,286	2/1992	Muraji			
	AC6	5,097,195	3/1992	Raad <i>et al.</i>			
	AD6	5,115,183	5/1992	Kyoukane <i>et al.</i>			
	AE6	5,158,504	10/1992	Stocco			
	AF6	5,191,520	3/1993	Eckersley			
	AG6	5,214,371	5/1993	Naidu			
	AH6	5,237,260	8/1993	Takakado <i>et al.</i>			
	AI6	5,250,890	10/1993	Tanamachi <i>et al.</i>			
	AJ6	5,252,860	10/1993	McCarty <i>et al.</i>			
	AK6	5,260,637	11/1993	Pizzi			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL6						Yes
							No
	AM6						Yes
							No
	AN6						Yes
							No
	AO6						Yes
							No
	AP6						Yes
							No

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1M	AA7	5,281,905	1/1994	Dhyanchand <i>et al.</i>			
	AB7	5,291,106	3/1994	Murty <i>et al.</i>			
	AC7	5,309,081	5/1994	Shah <i>et al.</i>			
	AD7	5,325,043	6/1994	Parro			
	AE7	5,345,154	9/1994	King			
	AF7	5,363,032	11/1994	Hanson <i>et al.</i>			
	AG7	5,364,309	11/1994	Heidrich <i>et al.</i>			
	AH7	5,373,195	12/1994	De Doncker <i>et al.</i>			
	AI7	5,381,081	1/1995	Radun			
	AJ7	5,387,859	2/1995	Murugan <i>et al.</i>			
	AK7	5,404,092	4/1995	Gegner			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL7						Yes No
	AM7						Yes No
	AN7						Yes No
	AO7						Yes No
	AP7						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>14</i>	AA8	5,406,797	4/1995	Kawamura			
	AB8	5,428,275	6/1995	Carr <i>et al.</i>			
	AC8	5,430,362	7/1995	Carr <i>et al.</i>			
	AD8	5,477,091	12/1995	Fiorina <i>et al.</i>			
	AE8	5,488,286	1/1996	Rozman <i>et al.</i>			
	AF8	5,495,162	2/1996	Rozman <i>et al.</i>			
	AG8	5,495,163	2/1996	Rozman <i>et al.</i>			
	AH8	5,510,696	4/1996	Naidu <i>et al.</i>			
	AI8	5,512,811	4/1996	Latos <i>et al.</i>			
	AJ8	5,544,484	8/1996	Voss <i>et al.</i>			
	AK8	5,545,090	8/1996	Kirschey			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL8						Yes No
	AM8						Yes No
	AN8						Yes No
	AO8						Yes No
	AP8						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>8</u>	
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<i>1/17</i>	AA9	5,546,742	8/1996	Shekhawat <i>et al.</i>			
	AB9	5,550,410	8/1996	Titus			
	AC9	5,550,455	8/1996	Baker			
	AD9	5,559,421	9/1996	Miyakawa			
	AE9	5,563,802	10/1996	Plahn <i>et al.</i>			
	AF9	5,568,023	10/1996	Grayer <i>et al.</i>			
	AG9	5,572,108	11/1996	Windes			
	AH9	5,581,168	12/1996	Rozman <i>et al.</i>			
	AI9	5,587,647	12/1996	Bansal <i>et al.</i>			
	AJ9	5,594,322	1/1997	Rozman <i>et al.</i>			
	AK9	5,637,987	6/1997	Fattic <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL9						Yes No
	AM9						Yes No
	AN9						Yes No
	AO9						Yes No
	AP9						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>9</u>	
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<i>IM</i>	AA10	5,646,458	7/1997	Bowyer <i>et al.</i>			
	AB10	5,656,915	8/1997	Eaves			
	AC10	5,743,227	4/1998	Jacquet <i>et al.</i>			
	AD10	5,767,637	6/1998	Lansberry			
	AE10	5,789,881	8/1998	Egami <i>et al.</i>			
	AF10	5,799,484	9/1998	Nims			
	AG10	5,819,524	10/1998	Bosley <i>et al.</i>			
	AH10	5,847,522	12/1998	Barba			
	AI10	5,903,116	5/1999	Gels <i>et al.</i>			
	AJ10	5,905,346	5/1999	Yamada <i>et al.</i>			
	AK10	5,929,537	7/1999	Glennon			

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	AL10						Yes No
	AM10						Yes No
	AN10						Yes No
	AO10						Yes No
	AP10						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>LM</i>	AA11	5,936,312	8/1999	Koide <i>et al.</i>			
	AB11	5,939,794	8/1999	Sakai <i>et al.</i>			
	AC11	5,946,086	8/1999	Bruce			
	AD11	5,982,645	11/1999	Levran <i>et al.</i>			
	AE11	5,998,976	12/1999	Steffan			
	AF11	6,005,297	12/1999	Sasaki <i>et al.</i>			
	AG11	6,020,713	2/2000	Gels <i>et al.</i>			
	AH11	6,023,135	2/2000	Gilbreth <i>et al.</i>			
	AI11	6,031,294	2/2000	Gels <i>et al.</i>			
	AJ11	6,051,951	4/2000	Arai <i>et al.</i>			
	AK11	6,054,776	4/2000	Sumi			

FOREIGN PATENT DOCUMENTS

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	AL11						Yes No
	AM11						Yes No
	AN11						Yes No
	AO11						Yes No
	AP11						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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<i>W</i>	AA12	6,064,122	5/2000	McConnell	/		
	AB12	6,087,734	7/2000	Maeda <i>et al.</i>			
	AC12	6,107,775	8/2000	Rice <i>et al.</i>			
	AD12	6,147,414	11/2000	McConnell <i>et al.</i>			
	AE12	6,153,942	11/2000	Roseman <i>et al.</i>			
	AF12	6,169,332 B1	1/2001	Taylor <i>et al.</i>			
	AG12	6,169,334 B1	1/2001	Edelman			
	AH12	6,175,210 B1	1/2001	Schwartz <i>et al.</i>			
	AI12	6,194,794 B1	2/2001	Lampe <i>et al.</i>			
	AJ12	6,093,975	7/2000	Peticolas			
	AK12	6,526,757	03/2003	Mackay			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL12						Yes No
	AM12						Yes No
	AN12						Yes No
	AO12						Yes No
	AP12						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

AR	12	
AS	12	
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JM	AA13	6,606,864	08/2003	Mackay			
	AB13	3,500,105	02/1971	Clifford			
	AC13	3,623,317	11/1971	Foster-Pegg			
	AD13	4,062,184	12/1977	Hagen			
	AE13	4,724,670	02/1988	Greer			
	AF13	4,781,527	11/1988	Miller			
	AG13	4,785,624	11/1988	Smith <i>et al.</i>			
	AH13	2,772,636	12/1956	Yates			
	AI13	3,161,020	12/1964	LaHaye			
	AJ13	3,313,103	01/1967	Johnson			
	AK13	4,039,804	08/1977	Reed <i>et al.</i>			

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	AL13						Yes No
	AM13						Yes No
	AN13						Yes No
	AO13						Yes No
	AP13						Yes No

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<i>14</i>	AA14	6,487,096	11/2002	Gilbreth <i>et al.</i>			
	AB14	20020099476	07/2002	Hamrin <i>et al.</i>			
	AC14	3,313,103	04/1967	Johnson			
	AD14	3,740,948	01/1973	Kellett			
	AE14	5,490,376	02/1996	Van Der Burgt			
	AF14	6,003,297	12/1999	Ziener			
	AG14	2,285,976	06/1942	Huitson			
	AH14	3,396,083	03/1946	Chase			
	AI14						
	AJ14						
<i>4</i>	AK14						

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AL14						Yes No
	AM14						Yes No
	AN14						Yes No
	AO14						Yes No
	AP14						Yes No

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

	AR	<u>14</u>	
	AS	<u>14</u>	
	AT	<u>14</u>	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.